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PTO/SB/21 (CS-00)

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|   |                      |                       |
|---|----------------------|-----------------------|
| <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence after initial filing) | Application Number   | 10/076,552            |
|   | Filing Date          | 19 FEB 02             |
|   | First Named Inventor | Joshua C. HARRISON    |
|   | Group Art Unit       |                       |
|   | Examiner Name        | Bottofff, Christopher |
| INCLUDING TRANSMITTAL FORM<br>Total Number of Pages in This Submission              |                      | 13                    |
| Attorney Docket Number  |                      |                       |

| ENCLOSURES (check all that apply)  |   |  |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Assignment Papers (for an Application)                         | <input type="checkbox"/> After Allowance Communication to Group                            |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input checked="" type="checkbox"/> Amendment (Reply) letter (1 page)        | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition   | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):            |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Terminal Disclaimer  | • Replacement paragraphs and amended claims (clean and marked-up) per 37 CFR §1.121.       |
| <input type="checkbox"/> Information Disclosure Statement                    | <input type="checkbox"/> Request for Refund   |  |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              | <input type="checkbox"/> CD, Number of CD(s) _____                                      |  |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application    | Remarks   |  |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | This submission is responsive to USPTO Notice (of 07MAY02) of Non-Compliant Amendment.  |  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                           |
|--|---------------------------|
| Firm or Individual name                    | Joshua C. HARRISON        |
| Signature                                  | <i>Joshua C. Harrison</i> |
| Date                                       | 24 MAY 02                 |

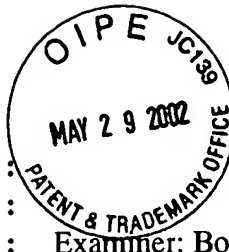
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MAY 30 2002

GROUP 3600

| CERTIFICATE OF MAILING  |      |
|---|------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <input type="text"/> |      |
| Typed or printed name   |      |
| Signature   | Date |

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Re: Application of Joshua C. Harrison

Application No. 10/076,552

Filed: 19FEB02

For: SAFETY DEVICE FOR SNOWBOARDS

Examiner: Bottorff, Christopher

#1/B  
6-26-02  
YW

**Response to Notice of 07MAY02 of Non-Compliant Amendment**

Honorable Commissioner of  
Patents and Trademarks  
Washington, D. C. 20231

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MAY 30 2002

**GROUP 3600**

Sir:

Thank you for your notice dated 07 May 2002 informing me that my preliminary amendment of 05 March 2002 was rendered non-compliant by a subsequent response (to a 13MAR02 notice to file corrected application papers) that I made on 19 March 2002. Your notice stated that I need not resubmit the entire preliminary amendment, rather your notice directed me to provide four additional items in order to bring the preliminary amendment into compliance with 37 CFR §1.121. It is my hope that this letter, and the materials that I have submitted with this letter, will fully respond to your 07MAY02 notice and requirement.

I have supplied herewith:

1. A clean version of the replacement paragraphs of the specification per 37 CFR §1.121(b)(1)(ii).
2. A marked-up version of the replacement paragraphs of the specification per 37 CFR §1.121(b)(1)(iii).
3. A clean version of the amended claims per 37 CFR §1.121(c)(1)(i).
4. A marked-up version of the amended claims per 37 CFR §1.121(c)(1)(ii).

Respectfully submitted,

  
Joshua C. Harrison

24 May 2002



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, DC 20231  
www.uspto.gov

Paper No. 6

## Notice of Non-Compliant Amendment (37 CFR 1.121)

The amendment filed on 3-19-02 is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121, as amended on September 8, 2000 (see 65 Fed. Reg. 54603, Sept. 8, 2000, and 1238 O.G. 77, Sept. 19, 2000). In order for the amendment to be compliant, applicant must supply the following omissions or corrections in response to this notice.

THE FOLLOWING ITEMS ARE REQUIRED FOR COMPLIANCE WITH RULE 1.121 (APPLICANT NEED NOT RE-SUBMIT THE ENTIRE AMENDMENT):

- ☒ 1. A clean version of the replacement paragraph(s)/section(s) is required. See 37 CFR 1.121(b)(1)(ii).
- ☒ 2. A marked-up version of the replacement paragraph(s)/section(s) is required. See 37 CFR 1.121(b)(1)(iii). ✓
- ☐ 3. A clean version of the amended claim(s) is required. See 37 CFR 1.121(c)(1)(i).
- ☒ 4. A marked-up version of the amended claim(s) is required. See 37 CFR 1.121(c)(1)(ii).

Explanation: \_\_\_\_\_

(LIE: Please provide specific details for correction to assist the applicant. For example, "the clean version of claim 6 is missing.")

For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714 and the USPTO website at <http://www.uspto.gov/web/offices/dcom/olia/pbg/sampleaf.pdf>. A condensed version of a sample amendment format is attached.

- ☒ **PRELIMINARY AMENDMENT:** Unless applicant **supplies the omission or correction** to the preliminary amendment in compliance with revised 37 CFR 1.121 noted above within ONE MONTH of the mail date of this letter, examination on the merits may commence without entry of the originally proposed preliminary amendment. This notice is not an action under 35 U.S.C. 132, and this ONE MONTH time limit is not extendable.
- ☐ **AMENDMENT AFTER NON-FINAL ACTION:** Since the above-mentioned reply appears to be *bona fide*, applicant is given a TIME PERIOD of ONE MONTH or THIRTY DAYS from the mailing of this notice, whichever is longer, within which to **supply the omission or correction noted above** in order to **avoid abandonment**. EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136(a).

Kelvin Williams  
Legal Instruments Examiner (LIE)